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# TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING

Certification under 37 CFR 1.10 (if applicable)

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November 22, 2000

Date of Deposit

I hereby certify that this Transmittal letter, enclosed application, and any other documents referred to as enclosed herein, are being deposited in an envelope with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

Cederic Rodgers

(Typed or printed name of person mailing application)

*Cederic Rodgers*  
(Signature of person mailing application)

Box PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Attorney Docket No. STC-38090

Customer Number 002387

Sir:

Transmitted herewith for filing is the utility patent application of inventor(s): **Yoram Uziel, David Yogev, Ehud Poles and Amir Wachs**

and entitled: **IN SITU MODULE FOR PARTICLE REMOVAL FROM SOLID-STATE SURFACES**

## 1. Type Of Application

This application is:

- ☐ an original (nonprovisional) application.
- ☐ a division of prior application Serial No. \_\_\_\_\_.
- ☐ a continuation of prior application Serial No. \_\_\_\_\_.
- ☒ a continuation-in-part of prior application Serial No. PCT/IL99/00701, and claims priority of US Provisional Patent Application Serial Nos. 60/195,867 and 60/172,299.

☒ The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

## 2. Enclosed Application Elements are:

- ☒ A duplicate copy of this transmittal letter,
- ☒ specification (including claims and abstract) containing pages 1- 26;
- ☒ drawings: ☒ 1 copy of eight (8) sheet(s) of formal drawings, **OR**  
☐ 1 copy of \_\_\_\_\_ sheet(s) of informal drawings,
- ☒ an executed declaration or oath for the utility patent application including a power of attorney, **OR**
- ☐ an unexecuted declaration or oath for the utility patent application including a power of attorney, **OR**
- ☐ a copy of an executed declaration or oath including power of attorney from a priority application,
- ☐ statement deleting inventor(s) named in the priority application
- ☐ Microfiche Computer Program
- ☐ nucleotide and/or amino acid sequence
- ☐ a. \_\_\_\_\_ computer readable copy
- ☐ b. \_\_\_\_\_ paper copy
- ☐ c. \_\_\_\_\_ statement verifying above copies

# TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING

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## 3. Enclosed Accompanying Application Parts are:

- ☐ Small Entity Status: Applicant claims small entity status. See 37 CFR 1.27.  
☐ Preliminary Amendment  
☐ Claim cancellations are indicated in Preliminary Amendment  
☒ one itemized, stamped, and self-addressed postcard for the PTO Mail Room date stamp.  
☐ English translation document  
☐ Information Disclosure Statement including Form PTO-1449 and copies of the citations therein.

## 4. Filing Fees (as calculated below)

	(Col. 1)	(Col. 2)		
For:	Number Filed	Number Extra	Rate	Fee
Basic Fee				\$ 710
Total Claims	51 — 20	= 31	x \$ 18 =	\$ 558
Independent Claims	4 — 3	= 1	x \$ 80 =	\$ 80
Multiple Dependent Claim Presented (if applicable)			+ \$270 =	\$ N/A
Subtotal				\$ 1,348
Reduction by 50% for filing by small entity				\$ 0
TOTAL				\$ 1,348

\* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

- ☐ Please charge my Deposit Account No. 15-0508 in the amount of \$ \_\_\_\_\_.  
☒ A check in the amount of \$ 1,348.00 to cover the filing fee is enclosed.  
☒ The Commissioner is authorized to charge payment of the following amounts associated with this communication or credit any overpayment to Deposit Account No. 15-0508:  
☒ Additional filing fees under 37 CFR 1.16 or deficiencies in remittances therefor.  
☒ Additional processing fees under 37 CFR 1.17 or deficiencies in remittances therefor.  
☒ **ONLY if applicant has partially paid** the patent issue fee under 37 C.F.R. §1.18, then the **deficiency** shall be charged to Deposit Account No. 15-0508, and the Commissioner is authorized to so charge the Deposit Account.  
☒ The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 15-0508 for any fee that may be due in connection with such a request for an extension of time.

Date: November 22, 2000

Attorney's Signature

Name and Registration No. Talivaldis Cepuritis (Reg. No. 20,818)

## Correspondence Address:

OLSON & HIERL, LTD.  
 20 North Wacker Drive  
 36th Floor  
 Chicago, Illinois 60606  
 Telephone: (312) 580-1180

AN IN SITU MODULE FOR PARTICLE REMOVAL FROM SOLID-STATE  
SURFACES

CROSS-REFERENCE TO RELATED APPLICATIONS

5           This application claims the benefit of U.S. Provisional Patent Application No. 60/172,299, filed December 16, 1999, and U.S. Provisional Patent Application No. 60/195,867, filed 7th April 2000, which are incorporated herein by reference. This application  
10 further is a Continuation In Part of PCT Patent Application PCT/IL99/00701, which is incorporated herein by reference.

FIELD OF THE INVENTION

15           The present invention relates generally to processing of semiconductor devices, and specifically to methods and apparatus for removal of foreign particles and contaminants from solid-state surfaces, such as semiconductor wafers and lithography masks.

BACKGROUND OF THE INVENTION

20           Removal of particles and contaminants from solid state surfaces is a matter of great concern in integrated circuit manufacture. This concern includes, but is not limited to, semiconductor wafers, printed circuit boards, component packaging, and the like. As the trend to  
25 miniaturize electronic devices and components continues, and critical dimensions of circuit features become ever smaller, the presence of even a minute foreign particle on a substrate wafer during processing can cause a fatal defect in the circuit. Similar concerns affect other  
30 elements used in the manufacturing process, such as masks and reticules.

Various methods are known in the art for stripping and cleaning foreign matter from the surfaces of wafers and masks, while avoiding damage to the surface itself. For example, U.S. Patent 4,980,536, whose disclosure is  
5 incorporated herein by reference, describes a method and apparatus for removal of particles from solid-state surfaces by laser bombardment. U.S. Patents 5,099,557 and 5,024,968, whose disclosures are also incorporated herein by reference, describe methods and apparatus for  
10 removing surface contaminants from a substrate by high-energy irradiation. The substrate is irradiated by a laser with sufficient energy to release the particles, while an inert gas flows across the wafer surface to carry away the released particles.

15 U.S. Patent 4,987,286, whose disclosure is likewise incorporated herein by reference, describes a method and apparatus for removing minute particles (as small as submicron) from a surface to which they are adhered. An energy transfer medium, typically a fluid, is interposed  
20 between each particle to be removed and the surface. The medium is irradiated with laser energy and absorbs sufficient energy to cause explosive evaporation, thereby dislodging the particles.

One particularly bothersome type of contamination  
25 that is found on semiconductor wafers and lithography masks is residues of photoresist left over from a preceding photolithography step. U.S. Patent 5,114,834, whose disclosure is incorporated herein by reference, describes a process and system for stripping this  
30 photoresist using a high-intensity pulsed laser. The laser beam is swept over the entire wafer surface so as to ablate the photoresist. The laser process may also be effected in a reactive atmosphere, using gases such as

oxygen, ozone, oxygen compounds, nitrogen trifluoride (NF<sub>3</sub>), etc., to aid in the decomposition and removal of the photoresist.

Various methods are known in the art for localizing defects on patterned wafers. A summary of these methods is presented in an article entitled "Defect Detection on Patterned Wafers," in *Semiconductor International* (May 1997), pp. 64-70, which is incorporated herein by reference. There are many patents that describe methods and apparatus for defect localization, for example, U.S. Patents 5,264,912 and 4,628,531, whose disclosures are incorporated herein by reference. Foreign particles are one type of defects that can be detected using these methods.

U.S. Patent 5,023,424, whose disclosure is incorporated herein by reference, describes a method and apparatus using laser-induced shock waves to dislodge particles from a wafer surface. A particle detector is used to locate the positions of particles on the wafer surface. A laser beam is then focused at a point above the wafer surface near the position of each of the particles, in order to produce gas-borne shock waves with peak pressure gradients sufficient to dislodge and remove the particles. It is noted that the particles are dislodged by the shock wave, rather than vaporized due to absorption of the laser radiation. U.S. Patent 5,023,424 further notes that immersion of the surface in a liquid (as in the above-mentioned U.S. Patent 4,987,286, for example) is unsuitable for use in removing small numbers of microscopic particles.

Various methods are known in the art of surface contamination control using integrated cleaning. A summary of these methods is presented in an article

entitled "Surface Contamination Control Using Integrated Cleaning" in *Semiconductor International* (June 1998), pp. 173-174, which is incorporated herein by reference.

#### SUMMARY OF THE INVENTION

5 It is an object of some aspects of the present invention to provide methods and apparatus for efficient removal of contaminants from solid-state surfaces, and particularly for removal of microscopic particles from semiconductor wafers and other elements used in  
10 semiconductor device production. The wafers may be bare, or they may have layers formed on their surface, whether patterned or unpatterned.

It should be noted that a substrate is henceforth broadly defined as any solid-state surface such as a  
15 wafer, which requires at least one contaminant or particle to be removed from its surface. It should be noted further that the word particle is used broadly to define any contaminant or other element, which requires removal from a substrate surface.

20 It is a further object of some aspects of the present invention to provide improved methods and apparatus for targeted removal of contaminant particles from a surface based on prior localization of the particles.

25 In preferred embodiments of the present invention, a cleaning module is employed to remove particles from a substrate surface. The cleaning module comprises a moving chuck, on which the substrate is mounted, and a moving optical cleaning arm, positioned over the chuck. The  
30 chuck holds the substrate, most preferably by suction, and comprises a motorized system which rotates the chuck about a theta ( $\theta$ ) axis or, alternatively, on x-y axes.

The moving arm comprises optics, through which electromagnetic radiation, preferably a laser beam, is conveyed and directed onto the substrate to clean the substrate surface. The arm preferably rotates about a  
5 phi ( $\Phi$ ) axis passing through its base, parallel to but displaced from the  $\theta$  axis of the chuck. Alternatively, the arm may move on x-y axes. Alternatively, the optical arm may be stationary, and only the chuck moves the substrate so as to place a particle directly under the  
10 arm. Similarly, the chuck may be stationary, and only the optical arm moves so as to position itself above a particle on the substrate surface.

The arm motion is preferably coordinated with movement of the moving chuck so that the laser beam can  
15 be directed locally at any point on the wafer surface. The cleaning module is connected to an electromagnetic energy source via a radiation guide, which is coupled to convey the energy to the optics of the moving arm. The cleaning module and laser module are herein termed a  
20 "particle removal unit".

In some preferred embodiments of the present invention, the arm further comprises channels for vapor or gas-phase transport to the substrate, and suction systems for transfer of gases and residuals from the  
25 substrate surface. In one such embodiment, vapor, preferably water vapor, is conveyed to the substrate via the channels in the cleaning arm. In another such embodiment, vapor such as alcohol, or an alcohol:water mixture, is conveyed via the channels in the cleaning  
30 arm. A vapor film is thus deposited onto the substrate, which condenses into a thin liquid film. Subsequently, when the electromagnetic energy impinges on the substrate, the liquid film evaporates explosively, as

described, for example, in the above-mentioned U.S. Patent 4,987,286. The particle residuals and gas-phase matter are then preferably removed via the cleaning arm. The water vapor thus serves two purposes: to dislodge the  
5 particle from the substrate surface by explosive evaporation of the liquid, and to cool the substrate surface, so as to minimize damage.

In some preferred embodiments of the present invention, the particle removal unit is connected to a  
10 particle localization unit. The particle localization unit preferably provides the particle removal unit with the coordinates of one or more particles. The contaminated area of the substrate is positioned under the cleaning arm by moving both the substrate and the  
15 cleaning arm according the coordinates of the particle. Laser energy is conveyed from the electromagnetic energy source, via the energy guide and the cleaning arm, and then targets the particle according to the information received from the particle localization unit. The energy  
20 is fired so as to remove the particle from the substrate surface. The particle removal unit lifts the particle, preferably by suction, and conveys it away from the substrate.

In some preferred embodiments of the present  
25 invention, the electromagnetic energy source comprises a multi-wavelength laser source. Preferably, the source combines ultraviolet laser radiation and infrared radiation, most preferably from an Optical Parametric Oscillator (OPO).

30 In some other preferred embodiments of the present invention, a laser source such as an Er:YAG laser (at 2.94 micron wavelength, for example) may be directed



directly from the electromagnetic energy source via the optical arm to the substrate.

The different wavelengths are used individually or in combination, in order to match the energies required to remove a specific type of contaminant from a defined solid-state surface. The infrared radiation is preferably used in conjunction with the vapor film described above.

In some preferred embodiments of this invention, the particle removal unit is integrated into a metrology tool, cluster tool, or other process tool for microelectronics fabrication on a semiconductor wafer. Preferably, the cleaning module is connected to other processing units by a clean wafer transfer system. This integration of the cleaning module in the process system is made possible by the novel, compact design of the moving chuck and arm, making the cleaning module far more compact and non-intrusive than laser-based cleaning units known in the art. The proximity of the particle removal unit to a particle localization unit and/or to other process tools enables fast and effective removal of particles without adding a separate cleaning process step. This integrated laser cleaning reduces the amount of inter-step substrate handling, and thus reduces process time and costs and increases process yield.

## BRIEF DESCRIPTION OF THE FIGURES

The present invention will be more fully understood from the following detailed description of the preferred embodiments thereof, taken together with the drawings in which:

Fig. 1 is a schematic pictorial illustration of a particle removal unit, constructed and operative in accordance with a preferred embodiment of the present invention;

Fig. 2 is a schematic top view of a cleaning module used in the unit of Fig. 1, constructed and operative in accordance with a preferred embodiment of the present invention;

Fig. 3 is a schematic, sectional view of the cleaning module of Fig. 2, illustrating removal of a contaminant particle from the substrate;

Fig. 4 is a schematic, sectional view of the cleaning module of Fig. 2, showing further details of its construction;

Fig. 5 is a schematic, sectional view of a cleaning module for removal of particles from a substrate during a manufacturing process, in accordance with a preferred embodiment of the present invention;

Fig. 6 is a graph showing a water absorption spectrum as a function of wavelength, useful in understanding a preferred embodiment of the present invention;

Fig. 7 is a simplified block diagram illustrating a laser source coupled to an optical parametric oscillator, constructed and operative in accordance with a preferred embodiment of the present invention;

Fig. 8 is a schematic view of a particle removal unit and a particle localization unit integrated into a

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semiconductor wafer processing cluster tool, constructed and operative in accordance with a preferred embodiment of the present invention;

5 Fig. 9 is a flow chart showing a method of substrate cleaning, in accordance with a preferred embodiment of the present invention; and

Fig. 10 is a flow chart showing a method of substrate cleaning, in accordance with another preferred embodiment of the present invention.

## DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

Fig. 1 is a schematic pictorial illustration of a particle removal unit 10, constructed and operative in accordance with a preferred embodiment of the present invention. Unit 10 comprises an *in situ* particle removal module 20, also referred to herein as a cleaning module. Module 20 comprises a substrate-holding chuck 25 on which a substrate 30 is mounted, and a cleaning arm 40. A single wavelength laser or a multi-wavelength laser module 60 generates a laser beam, which is conveyed to arm 40 via a radiation guide 50.

Substrate 30 is preferably a semiconductor wafer, although the methods and apparatus described hereinbelow are similarly applicable to substrates of other kinds. Module 20 is preferably integrated *in situ* in a metrology or process tool or with other semiconductor processing equipment, as described hereinbelow. Laser module 60 is preferably remote from the process tool.

Fig. 2 is a schematic top view of module 20, in accordance with a preferred embodiment of this invention. Substrate 30 is placed on chuck 25, which rotates about a theta ( $\theta$ ) axis 80 at the center of the substrate. Arm 40 moves about a phi ( $\phi$ ) axis 90, which is parallel to but displaced from the  $\theta$  axis. Arm 40 comprises optics 72 for conveying a laser beam 75 received via radiation guide 50 to the coordinates of a particle on substrate 30. The laser beam may be used in this manner to clean selected points on the substrate, which have been identified as the location of undesired particles, or to scan over and clean the entire substrate.

Preferably, arm 40 also comprises an inlet channel 70 for conveying gas or vapor to substrate 30.

Additionally or alternatively, the arm comprises a suction channel 95 and a suction port 85 for removing particle debris, contaminants, liquid and gases from the area of the substrate. Suction port 85 comprises a nozzle, preferably constructed with an aperture of 0.5-3 cm diameter, most preferably 0.5 cm diameter. The nozzle is preferably positioned at a tilt of 25 to 60 degrees and a distance of up to 4 cm from the substrate surface, most preferably approximately 2 cm from the surface.

Fig. 3 is a schematic, sectional view showing details of module 20 and illustrating removal of a contaminant particle 110 from substrate 30. The laser beam is directed by optics in arm 40 onto the area in which particle 110 is located. A steam pulse is directed onto the area of the particle from inlet channel 70 before laser beam 75 is fired, with contaminated gas, liquid and solid products being removed simultaneously via suction port 85. Preferably, dry gas is conveyed via inlet channel 70 subsequent to the steam flow. The dry gas preferably impinges on substrate's 30 surface, and then preferably flows a suction nozzle (not shown) via tubing to the suction gas outlet 135. in order to dry the tubing.

In other preferred embodiments of this invention, suction is preferably started prior to activating the electromagnetic energy source, preferably laser. The time delay before activating the energy source is preferably 0 to 5 seconds, and most preferably, 0.5 seconds. This enables gas flow lines into the suction nozzle to form. Thereafter, when substrate 30 surface is irradiated, and one or more particles 110 are released, they exhibit a drift diffusion. The particle drift diffusion is controlled by the suction and dry gas flow rate.

Fig. 4 is a schematic, sectional view of cleaning module 10, showing further details of its construction, in accordance with a preferred embodiment of the present invention. Substrate 30 is preferably held on chuck 25 by a suction mechanism 125. Preferably, a coolant channel 120 conveys a coolant 122, such as water, to chuck 25 in order to cool substrate 30, and thus to prevent thermal damage. Suction channel 95 is connected to a suction gas outlet 135. Rotation of chuck 25 is controlled by a motor 140. Although module 10 is shown here as an independent unit, in an alternative embodiment of the preferred embodiment, arm 40 is incorporated in an existing process chamber or metrology tool and makes use of a rotating chuck or X-Y stage that is already present in the system.

Fig. 5 is a schematic, sectional view of cleaning module 20, in accordance with another preferred embodiment of the present invention. In this embodiment, wafer 30 is mounted on an x-y stage or platform 111. Cleaning arm 40 may rotate about the  $\phi$  axis, or it may be fixed, since the x-y stage allows the laser beam to reach all areas of the wafer surface without the necessity of scanning the laser beam, as well. Alternatively, chuck 25 is enabled to reach every position under arm 40 by moving along radius  $r$ , and rotating about theta axis 80. The configuration of Fig. 5 is useful in the context of particle detection tools, which commonly include an x-y stage already.

Fig. 6 is a graph showing a water absorption spectrum as a function of the wavelength of the incident radiation, useful in understanding aspects of the present invention. In order to achieve high absorption of the laser beam in a water film deposited on wafer 30, wavelengths of 10.6  $\mu\text{m}$  and 2.95  $\mu\text{m}$  are preferred, as they

are points of strong absorption. The 2.95  $\mu\text{m}$  absorption is more than one order of magnitude stronger than absorption at 10.6  $\mu\text{m}$ . Preferably, laser module 60 is designed to generate a tuned, pulsed laser beam at wavelengths that are tailored according to the particular particle removal application, including both vapor-assisted and dry methods. Different process stages and contaminant types typically require different methods and different wavelengths for optimal cleaning. Thus, module 60 is preferably able to generate both ultraviolet and infrared (IR) radiation, which is most preferably tunable to the water absorption peak at 2.95  $\mu\text{m}$ .

Fig. 7 is a simplified block diagram illustrating elements of multi-wavelength laser module 60, constructed and operative in accordance with a preferred embodiment of the present invention. A Nd:YAG laser source 170 emits a laser beam at 1.06  $\mu\text{m}$ , which is directed into an optical parametric oscillator (OPO) 180. The OPO down-converts the laser frequency so as to emit a beam in the mid-IR, at one of the wavelengths at which water has an absorption peak, as shown in Fig. 6. Alternatively, a pulsed CO<sub>2</sub> laser (10.6  $\mu\text{m}$  wavelength) can be used instead of the OPO. Beam shaping optics 190 direct the IR beam into radiation guide 50, which then carries the beam to arm 40. Preferably, module 60 also includes an ultraviolet (UV) laser, such as a Lambda Physik (Gottingen, Germany) LPX315 IMC excimer laser. Alternatively, a Nd:YAG laser in its fourth harmonic may be employed. The UV laser is highly efficient for cleaning bare silicon, while OPO 180 can generate radiation in the strong absorption region of water (2.95  $\mu\text{m}$ ) such that "explosive evaporation" conditions are

reached and efficient particle cleaning achieved when UV cleaning is ineffective or unsatisfactory for other reasons. Alternatively, an Er:YAG laser may be employed.

5 In another preferred embodiment of this invention, the OPO and the UV laser operate simultaneously to deliver both IR and UV radiation. The OPO and laser are controlled in order to deliver radiation in amounts that will be sufficient for cleaning but below the damage threshold of the device. Proper control of the IR and UV  
10 sources enables particle removal with a lower total amount of energy imparted to substrate 30 than when only a single laser wavelength is used, as in systems known in the art. Lower energy deposition in the substrate reduces the possibility of thermal or radiation damage  
15 during cleaning.

Fig. 8 schematically illustrates integration of particle removal unit 10 into a cluster tool 210 for semiconductor wafer processing, in accordance with a preferred embodiment of the present invention.  
20 Preferably, cluster tool 210 also comprises a particle localization unit 230, which is used to provide coordinates of particles that must be removed from wafer 30 by unit 10. A typical example of particle localization unit 230 is the KLA-Tencor "Surfscan"  
25 system.

Wafers are transferred to cleaning module 20 from other process elements in the cluster tool, in order to remove contaminants from the wafers before or after other processing steps. A mechanical wafer transfer unit 222  
30 transfers wafer 30 via a clean wafer transfer system 232 to and from the other process elements. These typically include a process etch unit 224, a deposition unit 226, a lithography unit 228, and the like. After each process or



cleaning step, mechanical wafer transfer unit 222 may transfer substrate 30 to the next process unit, or to particle localization unit to locate any further particles, and then to the cleaning module 20 to be  
5 cleaned again. When particle removal unit 10 receives information concerning the location of particles from particle localization unit 230, it can then perform very localized cleaning, and does not need to clean the whole wafer surface.

10 At the end of all the unit processes in the cluster tool, mechanical wafer transfer unit 222 transfers substrate 30 via clean wafer transfer system 232 to the cluster tool exit.

Thus, the laser-cleaning system comprising particle  
15 localization unit 230 and particle removal unit 10 can be used to clean a substrate *in situ*. This cleaning may take place at the front end of a process line [FEOL], at the back end of the line [BEOL], simultaneously with, during, or after a process, simultaneously with a measuring  
20 process, or prior, during, or after a measuring process. Process examples include, but are not limited to, pre-deposition, post-deposition, before and after lithography, development and etch processes, and before, during and after measurement processes. Two typical  
25 options are exemplified in Figs. 9 and 10.

Fig. 9 is a flow chart showing a typical sequence of substrate cleaning employing particle removal unit 10 *in situ* prior to a process in accordance with a preferred embodiment of the present invention. particle  
30 localization unit 230 checks substrate 30 surface for particle 110. Particle 110 may be external contaminant such as dust, microbe, photoresist residues from prior processing, and the like. When particle localization unit

230 finds one or more particles 110 on the surface of substrate 30, it transfers substrate 30 to particle removal unit 10. Particle localization unit 230 relays coordinates of particle 110 to particle removal unit 10.

5 Preferably, cleaning arm 40 rotates about phi ( $\phi$ ) axis 90 to the area of particle 110 on substrate 30. Substrate 30, mounted on substrate chuck 25, may also move about theta ( $\theta$ ) axis 80 according to the coordinates of particle 110 received from particle localization unit  
10 230.

Cleaning arm 40 then conveys steam 70 to the surface of substrate 30. The water vapor condenses on impact with substrate 30, and a liquid film is formed. The liquid film may cover parts or all of the surface of  
15 substrate 30. Laser beam 75, is conveyed from multi-wavelength laser module 60 via radiation guide 50 and through cleaning arm 40 onto the liquid film. The liquid film explosively evaporates, dislodging particle 110 from the surface of substrate 30. Particle 110 and/or  
20 particle remnants are preferably carried by airflow, or sucked into the channel in cleaning arm 40 and are ejected at suction gas outlet 135 of cleaning module 20.

The above process is repeated until all particles have been removed from the substrate surface.

25 Particle localization unit 230 preferably has electromechanical systems for substrate transfer. Substrate transfer may alternatively be manual, or be part of mechanical wafer transfer unit 222 of cluster tool 210. The wafer may be transferred to a holding stage  
30 or to another process unit, such as a process etch unit 224, a deposition unit 226, a lithography unit 228, or the like.

Fig. 10 is a flow chart illustrating a typical sequence of substrate cleaning employing particle removal unit 10 *in situ* simultaneously with a metrology process, in accordance with a preferred embodiment of the present invention. For example in a slow measuring process, such as microscopic measurement of dimensions of elements on substrate 30, or electrical measurements on a substrate, it is preferable to utilize the time to remove the particles simultaneously. The sequence of Fig. 10 is thus substantially similar to that of Fig. 9, except that in Fig. 10 the particle location and removal processes are interleaved, rather than serial. While unit 10 is operating, a metrology tool, such as a remote microscope, takes measurements of various elements on the surface of substrate 30. It is preferable that the movement of the microscope is coordinated with that of arm 40. The metrology tool continues to take measurements until all particles have been removed, and no more measurements are required.

It will be appreciated that the preferred embodiments described above are cited by way of example, and that the present invention is not limited to what has been particularly shown and described hereinabove. Rather, the scope of the present invention includes both combinations and subcombinations of the various features described hereinabove, as well as variations and modifications thereof which would occur to persons skilled in the art upon reading the foregoing description and which are not disclosed in the prior art.

## CLAIMS

1. Apparatus for removing particles from the  
5 surface of a substrate, comprising:

a moving chuck, which is configured to receive the  
substrate and to move the substrate; and

an optical arm, which is adapted to direct a beam  
of electromagnetic energy onto the surface of the  
10 substrate, causing the particles to be dislodged from the  
surface, the arm being movable, in cooperation with the  
movement of the chuck, in order to scan the beam over the  
surface so as to impinge upon substantially any point on  
the surface from which the particles are to be removed.

15 2. Apparatus according to claim 1, wherein the  
apparatus is adapted to receive input position  
coordinates of the particles on the surface, and to  
direct the beam by movement of the chuck and the arm so  
that the beam is incident on the surface at the position  
20 coordinates of the particle.

3. Apparatus according to claim 2, wherein the  
optical arm is adapted to rotate about a base thereof so  
as to scan the beam according to the particle position  
coordinates.

25 4. Apparatus according to claim 2, and comprising  
a particle localization unit, which is adapted to  
determine the input position coordinates.

5. Apparatus according to claim 1, wherein the  
electromagnetic energy comprises laser energy.

30 6. Apparatus according to claim 5, and comprising  
a laser module adapted to generate the laser energy and a

radiation guide coupled from the laser module to the optical arm by so as to supply the beam of electromagnetic energy thereto.

7. Apparatus according to claim 6, wherein the  
5 laser module comprises a multi-wavelength laser module, which is adapted to supply the electromagnetic energy at a plurality of wavelengths.

8. Apparatus according to claim 6, wherein the laser energy comprises infrared radiation.

10 9. Apparatus according to claim 6, wherein the laser module comprises an Optical Parametric Oscillator (O.P.O.) which is tunable to match the energies required to remove a specific type of contaminant from the surface.

15 10. Apparatus according to claim 6, wherein the laser energy is Er:YAG laser energy.

11. Apparatus according to claim 6, wherein the laser energy is CO<sub>2</sub> laser energy.

20 12. Apparatus according to claim 1, wherein the optical arm comprises a channel for conveying a vapor to the surface of the substrate.

13. Apparatus according to claim 12, wherein and the channel terminates in a nozzle adjacent to the substrate surface.

25 14. Apparatus according to claim 1, wherein the optical arm comprises an outlet channel, which is adapted to be coupled to a suction system.

30 15. Apparatus according to claim 14, wherein the outlet channel comprises a suction nozzle adjacent to the substrate surface.

16. Apparatus according to claim 15, wherein the suction nozzle has an aperture of approximately 0.5 to 3 cm.

17. Apparatus according to claim 15, wherein suction nozzle is held no more than 4 cm above the substrate surface.

18. Apparatus according to claim 17, wherein the suction nozzle is placed approximately 2 cm above the substrate surface.

19. Apparatus according to claim 1, wherein the chuck is adapted to rotate in a plane of the substrate about a central axis thereof and to move along a radius thereof.

20. Apparatus according to claim 1, wherein the chuck is adapted to move linearly in a plane of the substrate along x and y axes.

21. Apparatus according to claim 1, wherein the arm is adapted to rotate about a base thereof in a plane parallel to the substrate.

22. Apparatus, according to claim 1, wherein the substrate comprises a semiconductor wafer.

23. A cluster tool for processing a semiconductor wafer, comprising:

a processing chamber, adapted to receive the wafer and comprising apparatus for forming features on the wafer;

a particle removal unit, adapted to receive the wafer and comprising an optical assembly for directing a beam of electromagnetic energy onto a surface of the wafer so as to dislodge contaminants from the surface; and

a wafer transfer mechanism, coupled to transfer the wafer between the processing chamber and the particle removal station substantially without exposing the wafer to ambient air.

5           24. Apparatus according to claim 23, wherein the electromagnetic energy comprises laser energy.

          25. Apparatus according to claim 23, wherein the optical assembly comprises an optical arm, which is coupled to receive the beam of electromagnetic energy and  
10 is movable so as to scan the beam over the surface.

          26. Apparatus according to claim 25, wherein the particle removal unit comprises a substrate-holding chuck, adapted to move the wafer in cooperation with movement of the arm so that the beam can impinge at  
15 substantially any point on the surface of the wafer.

          27. Apparatus according to claim 23, wherein the particle removal unit is adapted to receive input position coordinates of the particles on the surface, and to direct the beam responsive to the coordinates of the  
20 particles.

          28. Apparatus according to claim 27, and comprising a particle localization unit, which is adapted to determine the input position coordinates.

          29. Apparatus according to claim 28, wherein the  
25 wafer transfer mechanism is adapted to transfer the wafer to and from the particle localization unit substantially without exposing the wafer to ambient air.

          30. Apparatus according to claim 23, wherein the particle removal unit comprises a cleaning module, which  
30 is adapted to receive the wafer, and a laser module and radiation guide, wherein the laser module is situated

remotely from the cleaning module and is coupled to the optical arm by a radiation guide so as to supply the beam of electromagnetic energy thereto.

5 31. Apparatus according to claim 23, wherein the optical arm comprises one or more channels adapted to convey materials to or from the surface of the wafer.

32. Apparatus according to claim 31, wherein the one or more channels comprises a vapor channel, for conveying a vapor to the surface of the wafer.

10 33. Apparatus according to claim 31, wherein the one or more channels comprise a suction channel, for removal of contaminants from a vicinity of the wafer.

34. A method for removing particles from the surface of a substrate, comprising:

15 positioning the substrate under an optical arm;  
directing a beam of electromagnetic energy through the optical arm onto an area of the surface of the substrate, so as to dislodge particles in the area from the surface; and

20 moving the optical arm and the substrate in cooperation so as to cause the beam to impinge upon substantially any point on the surface from which the particles are to be dislodged.

25 35. A method according to claim 34, and wherein moving the arm and substrate in cooperation comprises:

receiving input position coordinates of the particles on the surface; and

positioning the arm and substrate in response to the input position coordinates.

30 36. A method according to claim 34, wherein the electromagnetic energy comprises laser energy.



37. A method according to claim 36, wherein directing the beam of electromagnetic energy comprises coupling the optical arm by a radiation guide to a remote laser module.

5 38. A method according to claim 36, wherein directing the beam of electromagnetic energy comprises supplying the laser energy at a plurality of wavelengths.

39. A method according to claim 38, wherein supplying the laser energy at the plurality of wavelengths comprises tuning the energy to a wavelength optimized for a particle removal process by which the particles are to be dislodged.

40. A method according to claim 39, and comprising wetting the surface with a fluid before directing the beam of electromagnetic energy, and wherein tuning the energy comprises tuning the wavelength to facilitate absorption of the energy by the fluid.

41. A method according to claim 40, wherein directing the beam of electromagnetic energy comprises directing the beam at the tuned wavelength with an energy sufficient to cause explosive evaporation of the fluid substantially without damage to the substrate.

42. A method according to claim 34, and comprising conveying a vapor to the surface of the substrate via the arm, wherein directing the beam of electromagnetic energy comprises directing the beam onto the surface with an energy sufficient to cause explosive evaporation of the fluid substantially without damage to the substrate.

50. A method according to claim 49, wherein receiving the input position coordinates comprises

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transferring the wafer to a particle localization unit for determination of the coordinates, substantially without exposing the wafer to ambient air.

- 5 51. A method according to claim 47, wherein directing the beam comprises conveying the beam via a radiation guide from a remote laser module to a cleaning module, which receives the wafer without exposing the wafer to the ambient air.

## ABSTRACT

Apparatus and a method for removing particles from the surface of a substrate include determining respective position coordinates of the particles on the surface. A  
5 beam of electromagnetic energy is directed via an optical cleaning arm at the coordinates of each of the particles in turn, such that absorption of the electromagnetic energy at the surface causes the particles to be dislodged from the surface substantially without damage  
10 to the surface itself.



FIG. 3

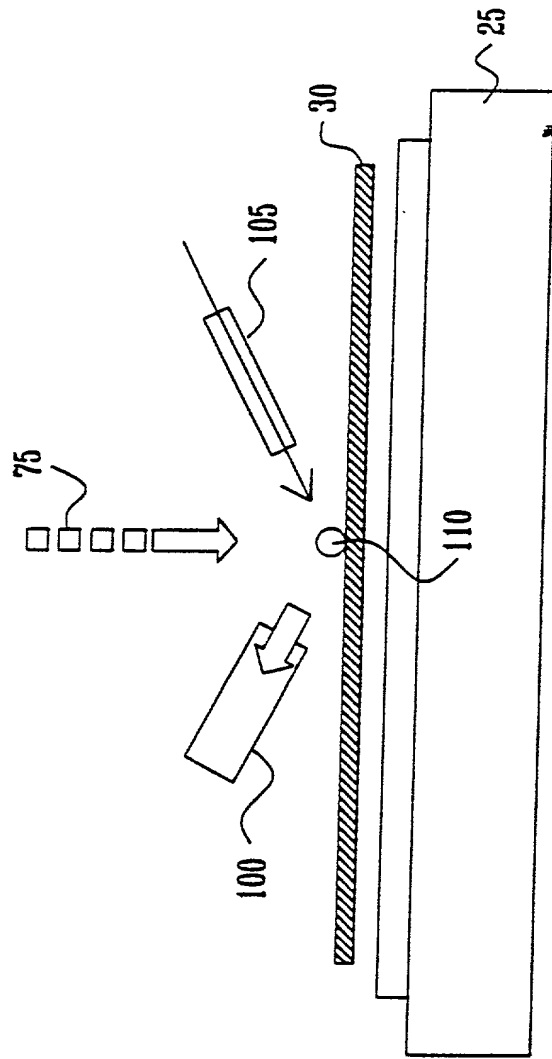


FIG. 4

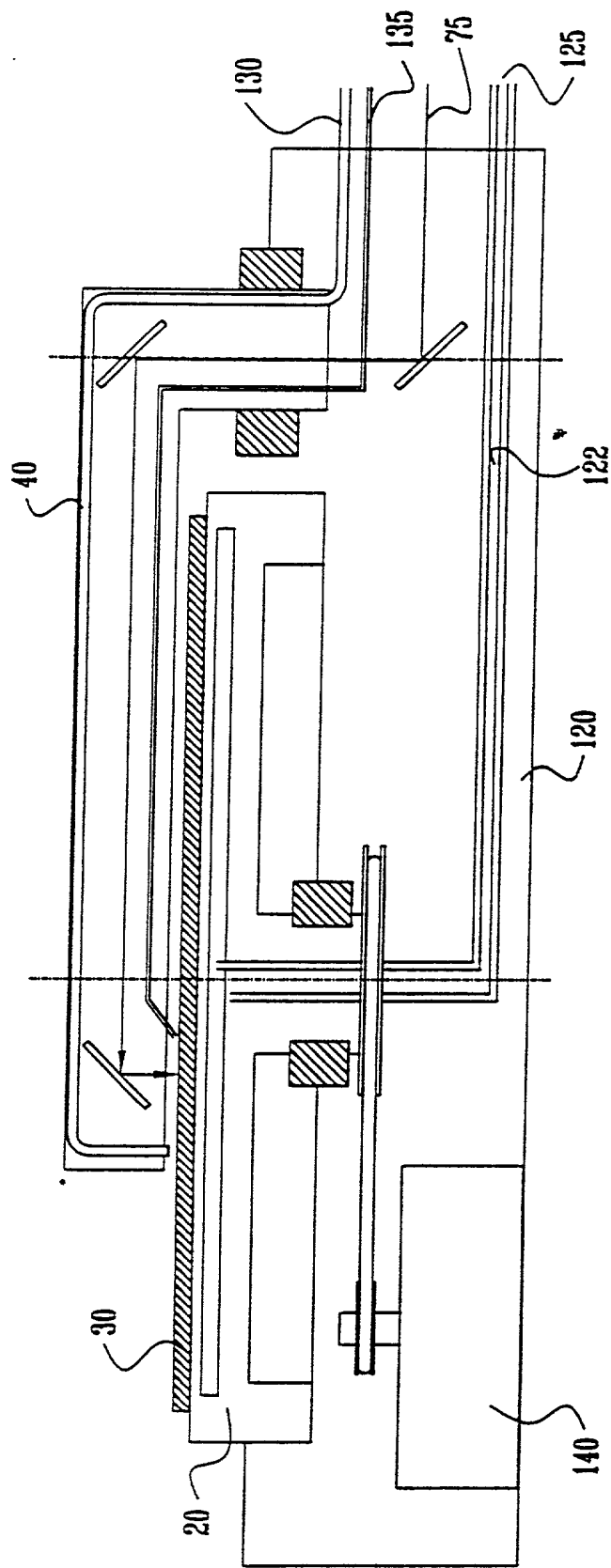


FIG. 5

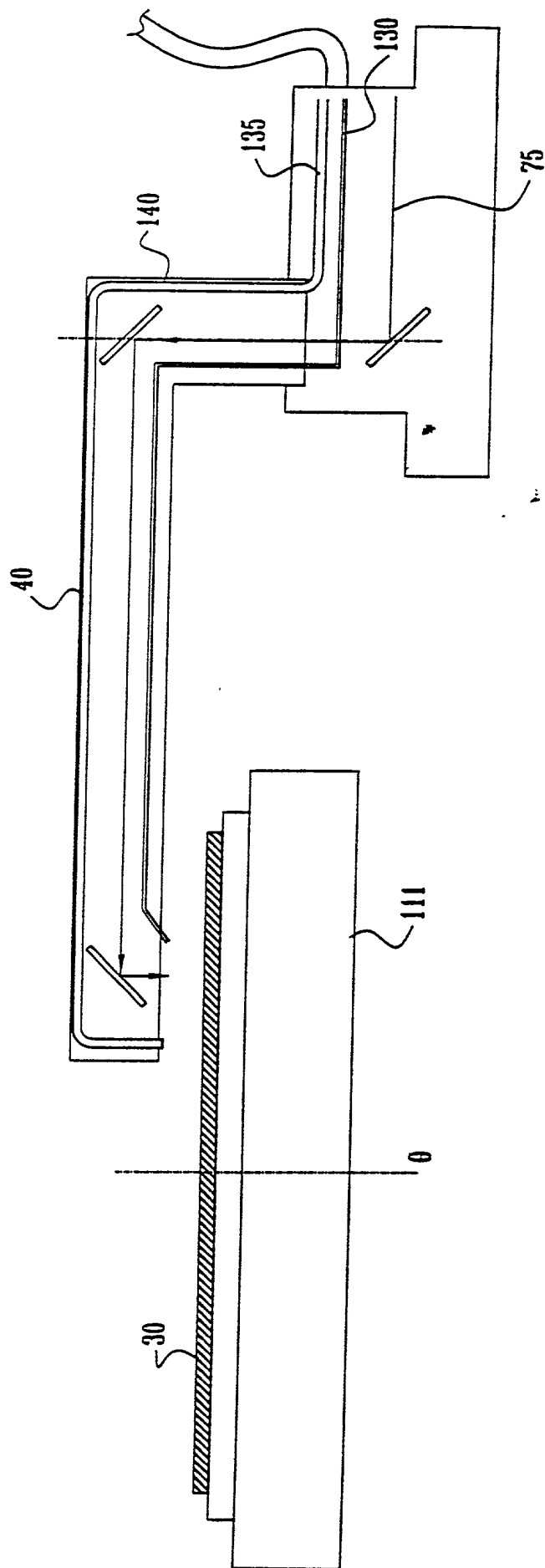




FIG. 6

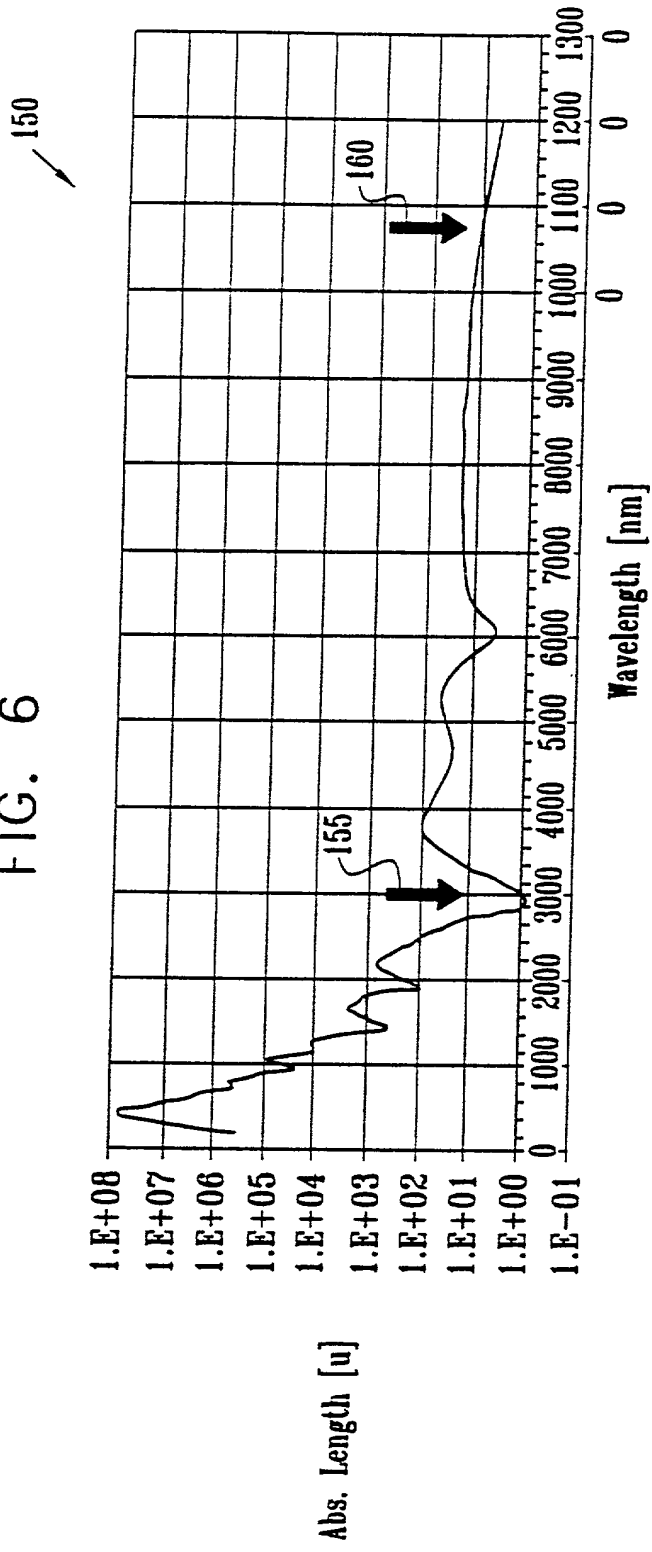


FIG. 7

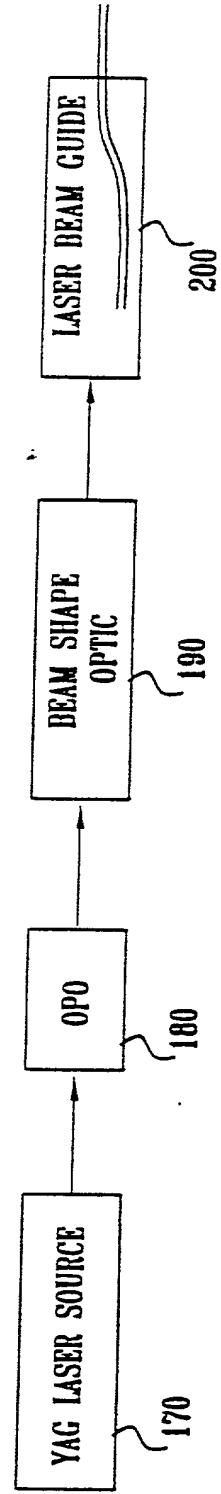
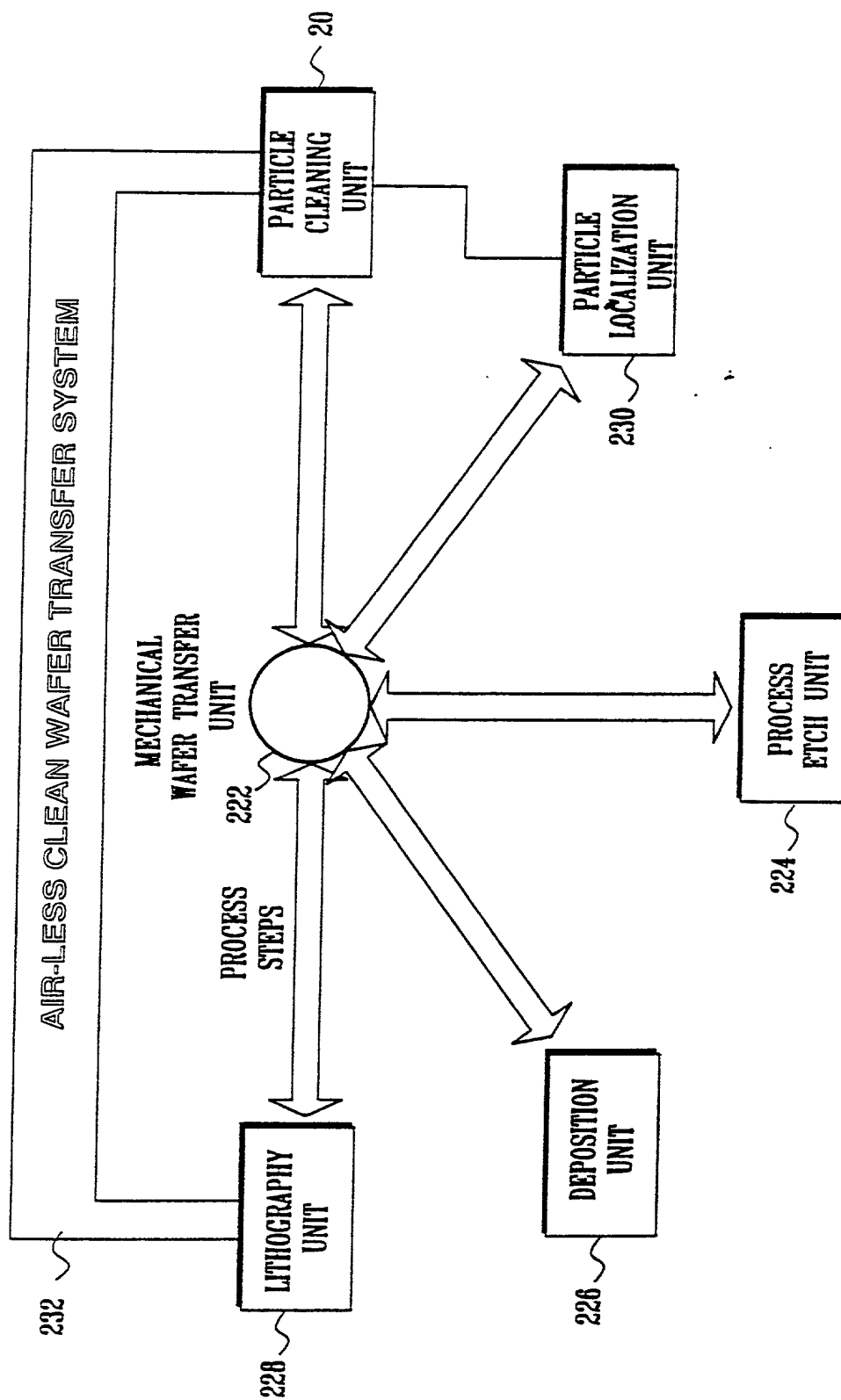


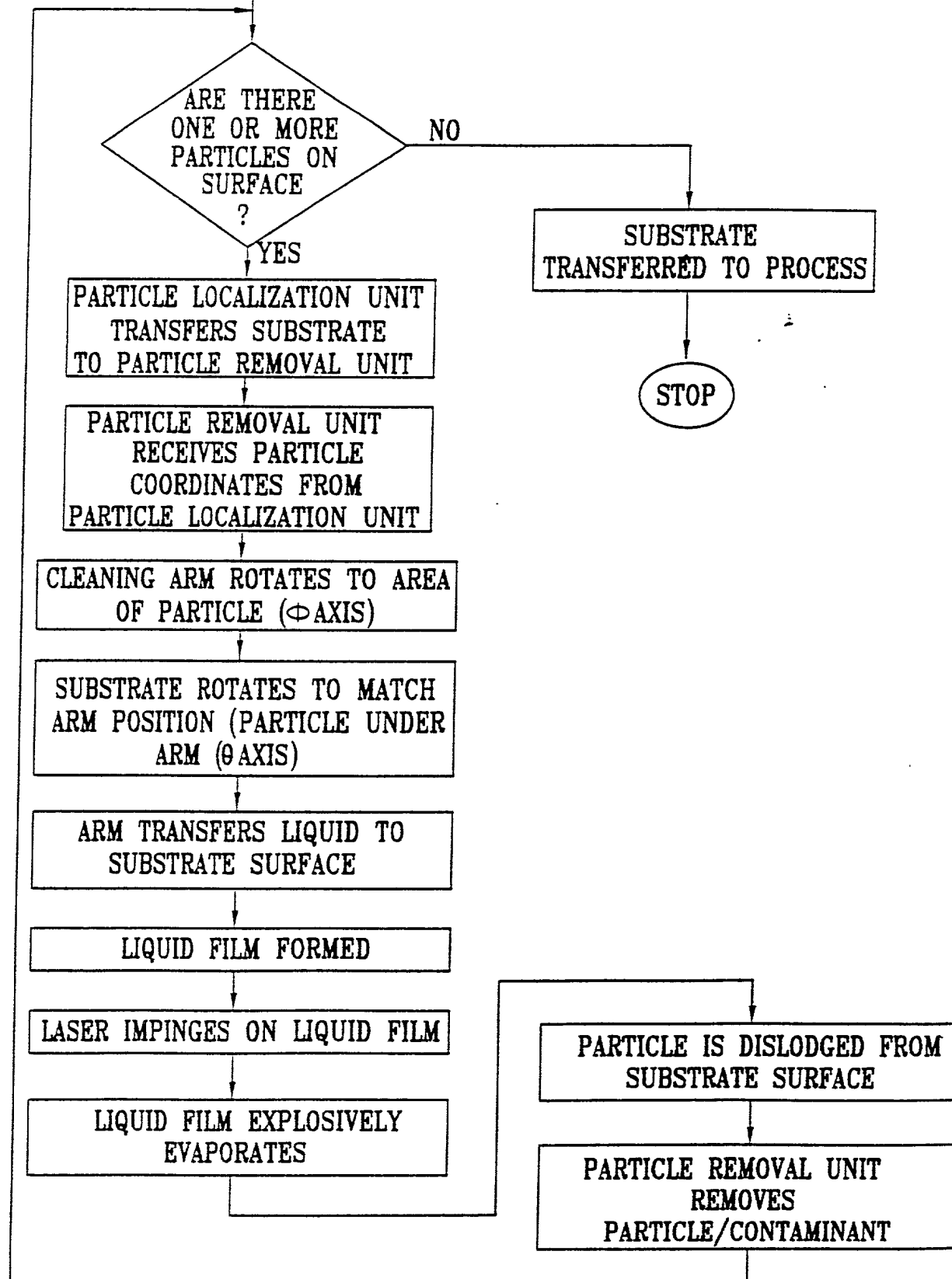
FIG. 8

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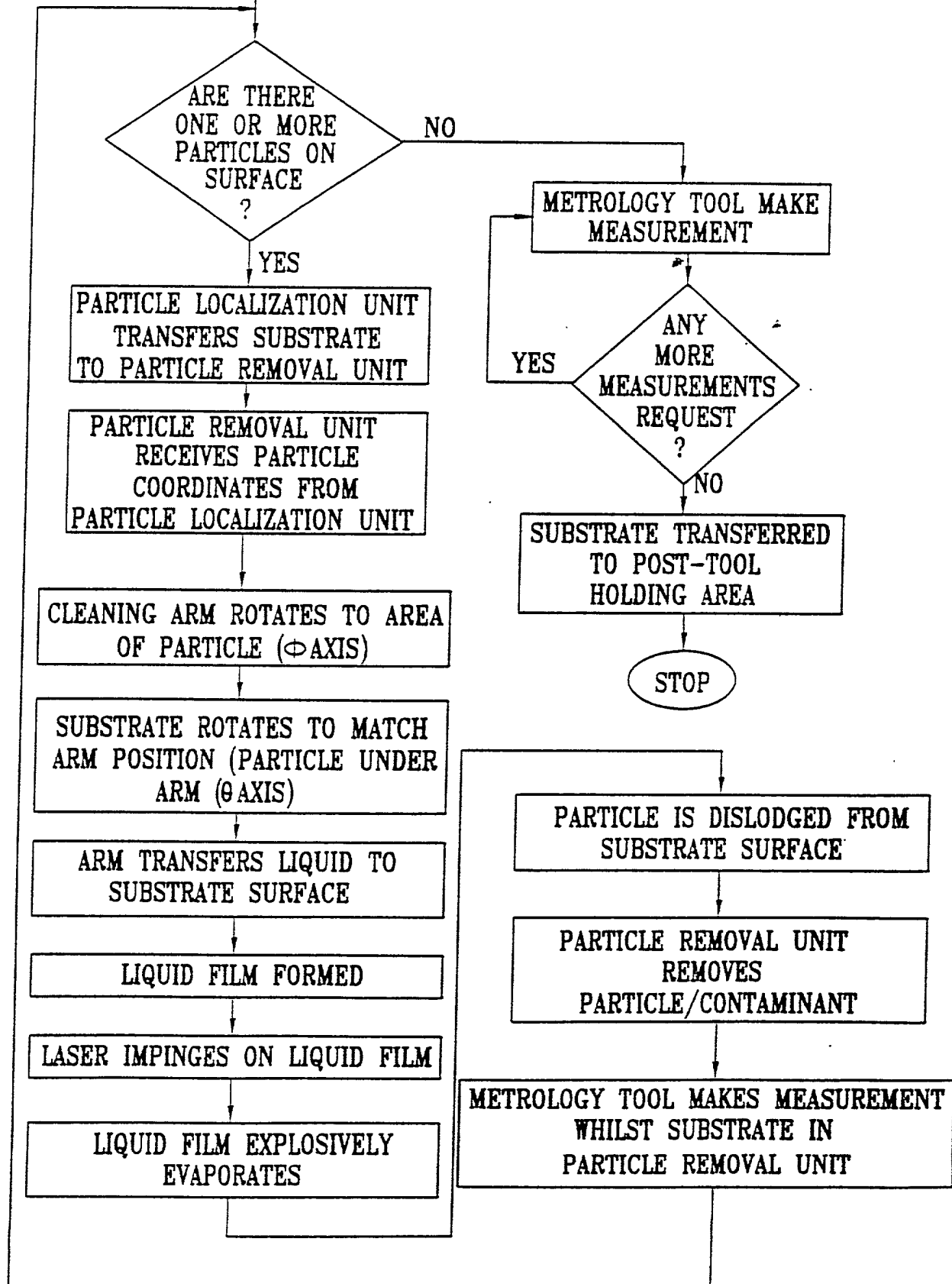
PARTICLE LOCALIZATION UNIT  
CHECKS SOLID-STATE SURFACE OF  
SUBSTRATE

FIG. 9



PARTICLE LOCALIZATION UNIT  
CHECKS SOLID-STATE SURFACE OF  
SUBSTRATE

FIG. 10



**PATENT APPLICATION DECLARATION AND POWER OF ATTORNEY**

I HEREBY DECLARE THAT:

My residence, post office address, and citizenship are as stated next to my name in PART A on page 2 hereof.

I believe I am the original, first, and sole inventor (if only one name is listed) or an original, first, and joint inventor (if plural names are listed) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**IN SITU MODULE FOR PARTICLE REMOVAL FROM SOLID-STATE SURFACES**

the specification of which:

  X   is attached hereto;

       was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to herein.

I acknowledge the duty to disclose information to the Patent and Trademark Office known to me to be material to the patentability of this application, as defined in Title 37, Code of Federal Regulations, Sec. 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Sec. 119 of any foreign application(s) for patent or inventor's certificate listed in PART B on page 2 hereof and have also identified in PART B on page 2 hereof any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

I hereby claim the benefit under Title 35, United States Code, Sec. 120 of any United States application(s) listed in PART C of page 2 hereof and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Sec. 112, I acknowledge the duty to disclose all information to the Patent and Trademark Office known to me to be material to patentability of this application, as defined in Title 37, Code of Federal Regulations, Sec. 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint the following as my attorneys or agents with full power of substitution to prosecute this application and transact all business in the United States Patent and Trademark Office connected therewith:

Michael A. Hierl	Reg. No. 29,807	Arne M. Olson	Reg. No. 30,203
Dolores T. Kenney	Reg. No. 31,269	Taliyaldis Cepuritis	Reg. No. 20,818
Daniel J. Deneufbourg	Reg. No. 33,675	Richard L. Robinson	Reg. No. 31,415
Seymour Rothstein	Reg. No. 19,369	Joseph M. Kuo	Reg. No. 38,943
Martin J. Corn	Reg. No. 35,847	David A. Gottardo	Reg. No. 46,293

whose mailing address for this application is:

OLSON & HIERL, LTD.  
20 North Wacker Drive, 36th Floor  
Chicago, Illinois 60606  
Telephone: (312) 580-1180

See Page 2 attached, signed, and made a part hereof.

STC-38090

# PATENT APPLICATION DECLARATION AND POWER OF ATTORNEY

## PART A: INVENTOR INFORMATION AND SIGNATURE

Full name of SOLE or FIRST inventor Yoram Uziel  
 Citizenship Israel Residence Yodfat  
Mobile Post Misgav 20180, ISRAEL  
 Post Office Address (If different) \_\_\_\_\_

Inventor's signature: Yoram Uziel Date: 25/ect/2000  
Yoram Uziel

Full name of SECOND joint inventor, if any David Yogev  
 Citizenship Israel Residence 44/6 Haalon Street  
Nesher 36811, ISRAEL  
 Post Office Address (If different) \_\_\_\_\_

Second Inventor's signature: David Yogev Date: 25/10/2000  
David Yogev

Full name of THIRD joint inventor, if any Ehud Poles  
 Citizenship Israel Residence 5 Snir Street  
Kfar Vradim 25147, ISRAEL  
 Post Office Address (If different) \_\_\_\_\_

Third Inventor's signature: Ehud Poles Date: 25.10.00  
Ehud Poles

Full name of FOURTH joint inventor, if any Amir Wachs  
 Citizenship Germany and Israel Residence 3 Vitkin Street  
Haifa 34756, ISRAEL  
 Post Office Address (If different) \_\_\_\_\_

Fourth Inventor's signature: Amir Wachs Date: 25/10/00  
Amir Wachs

## PART B: PRIOR FOREIGN APPLICATION(S)

Serial No.	Country	Day/Month/Year Filed	Priority Claimed
PCT/IL99/00701	PCT	23 DEC 1999	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

## PART C: CLAIM FOR BENEFIT OF FILING DATE OF EARLIER U.S. APPLICATION(S)

Serial No.	Filing Date	Status:
60/172,299	16 DEC 1999	<input type="checkbox"/> Patented <input checked="" type="checkbox"/> Pending <input type="checkbox"/> Abandoned
60/195,867	07 APR 2000	<input type="checkbox"/> Patented <input checked="" type="checkbox"/> Pending <input type="checkbox"/> Abandoned